

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT &amp; TRADEMARK OFFICE

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LIST OF REFERENCES CITED BY APPLICANT

(Use Several Sheets if Necessary)

DOCKET NO.: 5432/1H967US1

In Re Application of: MOLTZEN, et al.

Serial No: TBA (Divisional Application of Serial No. 09/719,849 )

Filed: Concurrently Herewith

For: 4,5,6 AND 7-INDOLES AND INDOLINE DERIVATIVES,  
THEIR PREPARATION AND USEU.S. PATENT DOCUMENTS

| <u>*EXAMINER INITIALS</u> | <u>DOCUMENT NUMBER</u> | <u>DATE</u> | <u>NAME</u>     | <u>CLASS</u> | <u>SUBCLASS</u> | <u>FILING DATE</u> |
|---------------------------|------------------------|-------------|-----------------|--------------|-----------------|--------------------|
| TNT                       | 1. 5,693,655           | 12/02/97    | Bottcher et al. | 514          | 323             | 04/21/95           |

FOREIGN PATENT DOCUMENTS

| <u>*EXAMINER INITIALS</u> | <u>DOCUMENT NUMBER</u> | <u>DATE</u> | <u>COUNTRY</u> | <u>CLASS</u> | <u>SUBCLASS</u> | <u>TRANSLATION YES</u> | <u>NO</u> |
|---------------------------|------------------------|-------------|----------------|--------------|-----------------|------------------------|-----------|
| TNT ✓2.                   | 9421626 A1             | 29-09-94    | WO             | C07D         | 401/26          |                        |           |
| ✓3.                       | 9828293 A1             | 02-07-98    | WO             | C07D         | 401/04          |                        |           |
| ✓4.                       | 4414113 A1             | 26-10-95    | DE             | C07D         | 401/04          |                        | X         |
| ✓5.                       | 9533721 ✓              | 14-12-95    | WO             | C07D         | 211/18          |                        |           |

OTHER REFERENCES  
(INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)\*EXAMINER INITIALS

- TNT 6. European Journal of Pharmacology - Molecular Pharmacology Section, Vol. 244, 1993, pp. 251-257, P. Schoeffter, "SDZ 216-525, a selective and potent 5-HT1A receptor antagonist".
- TNT 7. Pharmacology Biochemistry and Behavior, Vol. 46, 1993, pp 873-880, R. Bell, "Effects of (-)-Pindolol and SDZ 216-525 on Social and Agonistic Behavior in Mice".

EXAMINER: M. Brown DATE CONSIDERED: 6-10-03

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.